PTO/SB/05 (05-03)
Approved for use through 04/30/2003. OMB 0651-0032
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Under the Paperwork Reduction Act of 1993, no persons are required to res			Atterney Decket No.	1/1394	₽. d				
UTILITY			Attorney Docket No.	Joerg SCHIEWE		<u> </u>			
PATENT APPLICATION			First Inventor						
TRANSMITTAL			Title	Apparatus for the dispensing of light					
(Only for n	ew nonprovisional applications und	er 37 CFR 1.53(b))	Express Mail Label No.	EV 294	654 322 US				
See MPEP o	APPLICATION ELEME	Commissioner for Patents Mail Stop Patent Application P.O. Box 1450 Alexandria VA 22313-1450							
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 44] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Secription of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 U.S.C. 113) [Total Sheets 13] 5. Oath or Declaration [Total Sheets 1] Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. □ Computer Reader Form (CRF) b. Specification Sequence Listing on: i. □ CD-ROM or CD-R (2 copies); or ii. □ Paper c. □ Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. □ Assignment Papers (cover sheet & document(s)) 10. □ 37 CFR 3.73(b) Statement □ Power of (when there is an assignee) 11. ☑ English Translation Document (if applicable) 11. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1499 Citations 13. □ Preliminary Amendment 14. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. ☑ Certified Copy of Priority Document(s) (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
18. If a CONTI	NUING APPLICATION, check	appropriate box, and sup	ply the requisite informatio	n below an	d in the first sen	tence of the			
Specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
ine incorporatio	n <u>can only</u> be relied upon when a		DENCE ADDRESS	miceo applic	readii parta.				
Customer Number or Bar Code Label Customer Number or Bar Code Label									
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Name (Print/Ty Signature	pe) Alan R Stempel	tent	Registration No. (Attorne	ey/Agent)	28,991 Date	08/26/2003			
<u> </u>		· · · · · // · · · · ·		t		T			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 OFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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08/26/2003

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EEE TOANSMITTA		Complet if Known							
FEE TRANSMITTA	네	Application Number		rΤ	To Be Accorded				
for FY 2003		Filing Date		0	08/26/2003				
		First Named Inventor JC		Joerg SCHIEWE					
Effective 01/01/2003. Patent fees are subject to annual revision		Examiner Name							
Applicant claims small entity status. See 37 CFR 1.27		Art Unit							
TOTAL AMOUNT OF PAYMENT (\$) 1,300.00		Attorney Docket No. 1/1394							
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)								
Check Credit card Money Other None	3. ADDITIONAL FEES								
Deposit Account:	Large Entity Small Entity								
Deposit	Fee Cod	Fee e (\$)	Fee Code	Fee (\$)		Fee D	escription	Fee Paid	
Number	1051	130	2051	65 S	Surchar	ge - late	filing fee or oath		
Deposit Account Boehringer Ingelheim Corporation	1052	2 50	2052		Surchar		provisional filing fee or		
Name The Director is authorized to: (check all that apply)	1053	3 130	1053			•	cification		
Charge fee(s) indicated below Credit any overpayments	£	2,520	{	-			st for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920* R	Reques Examin	ting publi er action	cation of SIR prior to	 	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805 1			ting publ er action	ication of SIR after		
FEE CALCULATION	1251	110	2251	55 E	Extensi	on for re	ply within first month		
1. BASIC FILING FEE	1252	410	2252	2 0 5 l	Extensi	ion for re	ply within second month		
Large Entity Small Entity	1253		2253	465 t	Extensi	ion for re	ply within third month		
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,450	2254				ply within fourth month		
1001 750 2001 375 Utility filing fee 750.00	1255	5 1,970	2255	985 l	Extensi	ion for re	ply within fifth month		
1002 330 2002 165 Design filing fee	1401		2401			of Appea			
1003 520 2003 260 Plant filing fee	1402		2402		•		support of an appeal		
1004 750 2004 375 Reissue filing fee	1403		2403 1451		•	it for oral	•		
1005 160 2005 80 Provisional filing fee	1451	1 1,510 ° 2 110	2452				ite a public use proceeding e - unavoidable		
SUBTOTAL (1) (\$) 750.00	ſ	3 1,300	2453				e - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1	1,300	2501				(or reissue)		
Fee from Ext <u>ra Claims below Fee Paid</u>	1502		2502		•	issue fee	•		
Total Claims 35 -20** = 15 X 18.00 = 270.00 Independent 3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	1503	630	2503	315	Plant is	sue fee			
Claims	1460	130	1460	130	Petition	ns to the	Commissioner		
Multiple Dependent <u>280.00</u> = <u>280.00</u>	1807	7 50	1807	50	Proces	sing fee	under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806				formation Disclosure Stmt	├	
Code (\$) Code (\$)	8021	I 40	8021	40 F	Recordi propert	ıng each y (times :	patent assignment per number of properties)	<u> </u>	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375 F	Filing a	· ·	ion after final rejection		
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375 F	For eac	ch additio	nal invention to be		
1204 84 2204 42 ** Reissue independent claims over original patent	180	1 750	2801				FR 1.129(b)) ntinued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802		1802	900	Reque	est for ex	pedited examination		
	Othe	of a design application							
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fer							UBTOTAL (3) (\$)		
SUBMITTED BY (Complete (if applicable)									
Name (Print/Type) Alan R/Stempel	Registra	tion No.	1 2	28,99)1	Telephone 203/798-48	68		

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Signature